

ITEM 5: BUSINESS CONTINUITY PLAN AND SUCCESSION DISCLOSURE

OVERVIEW

Weiser Financial Group LLC has developed a Business Continuity & Succession Plan. This is a written plan about how we will handle the transition of everything from books and records of the firm, to ongoing servicing of clients along with an alternate means of communicating with clients, in the event of either a natural disaster, or the unexpected death or disability of the advisor. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our Business Continuity Plan.

1. Contacting Us: If after a significant business disruption you cannot contact us as you normally do at (941) 363-1004, you may attempt to contact the firm's Chief Compliance Officer by email at compliance@weiserfinancialgroup.com
2. Our Business Continuity Plan: We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our property, making a financial and operational assessment, protecting the firm's books and records, and allowing our clients to transact business. In short, our Business Continuity Plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.
3. Our Business Continuity Plan addresses: Data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients and regulators; alternate physical location and regulatory reporting.
4. Varying Disruptions: Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within 24 hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within 48 hours.
5. Succession Plan: With the unexpected death or disability of your consultant, a process is in place to notify Edelman Financial. A copy of your account documents already exists on the Edelman Financial computer servers. There will be a seamless transition to allow Edelman Financial "in-house" advisors to continue to fulfill Edelman's fiduciary duty and ensure continuity of service for existing clients of Weiser Financial Group LLC.
6. For more information: If you have questions about our Business Continuity Plan, you may contact us by email at compliance@weiserfinancialgroup.com.